

***3D Shock Propagation and Particle  
Acceleration: Insights from STEREO  
in-situ observations***

**Glenn Mason**

***University of Maryland, College Park, MD 20742, USA***

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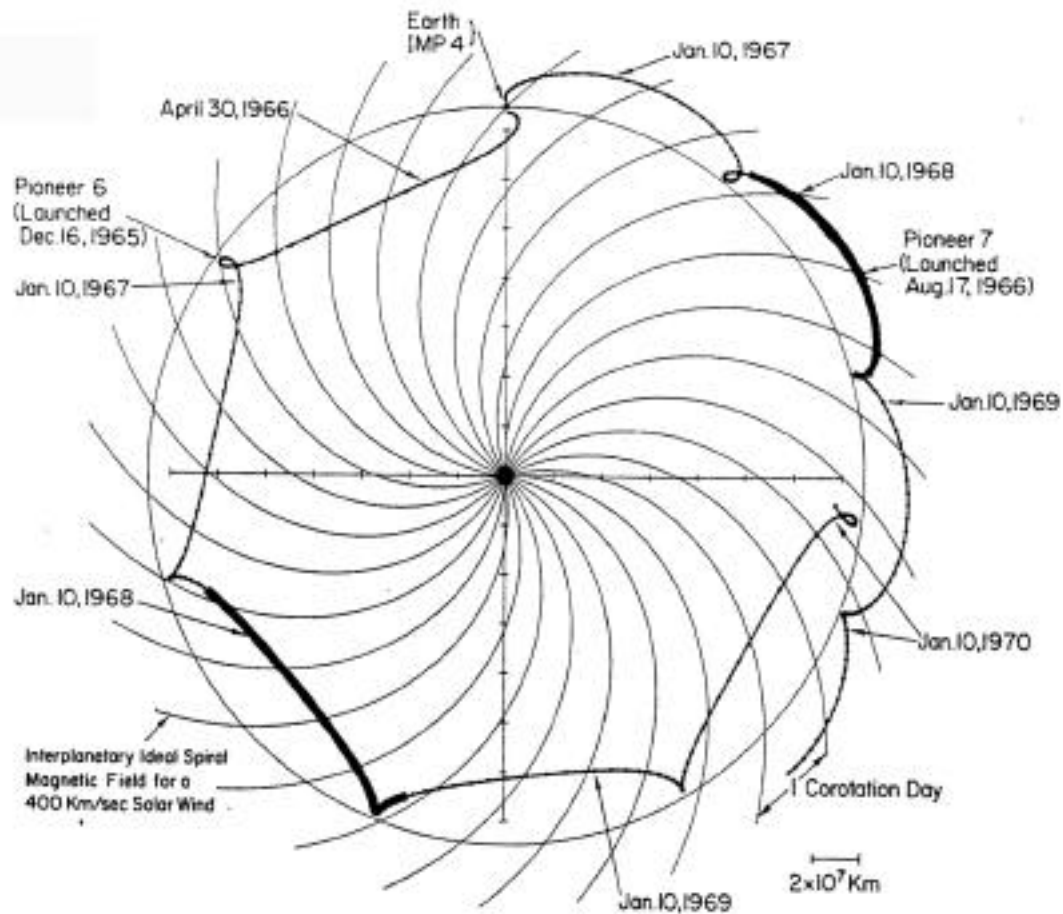


Fig. 1. Trajectories of the deep space probes Pioneer 6 and Pioneer 7 in a rotating coordinate system with the earth-sun line held fixed. Tics indicate the positions of the spacecraft every tenth day, starting on January 10 of each year.

R. B. McKibben, *JGR*, 77, 3957, 1972

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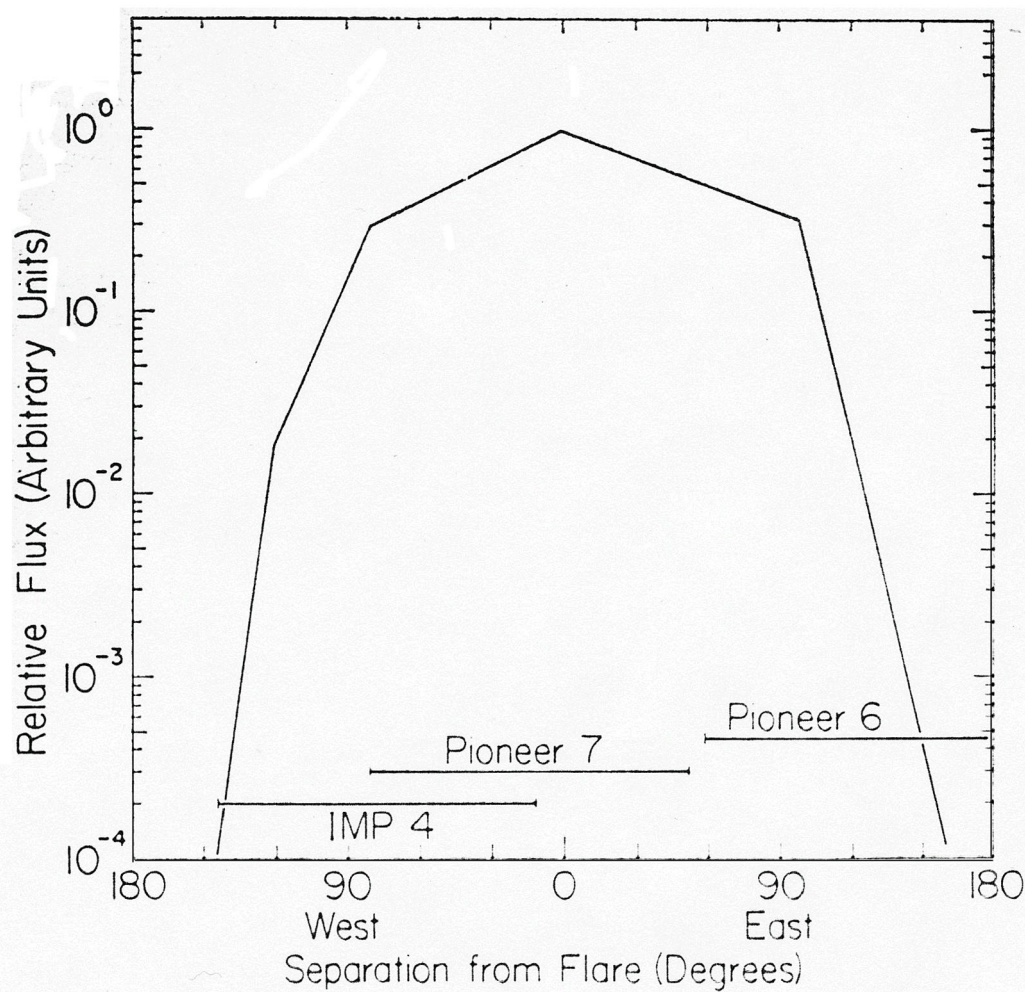


Fig. 12. An azimuthal intensity distribution for 15- to 18.7-Mev protons consistent with observations of the rise to maximum at Imp 4 and the decays at Imp 4, Pioneer 6, and Pioneer 7.

R. B. McKibben, *JGR*, 77, 3957, 1972

R. B. McKIBBEN

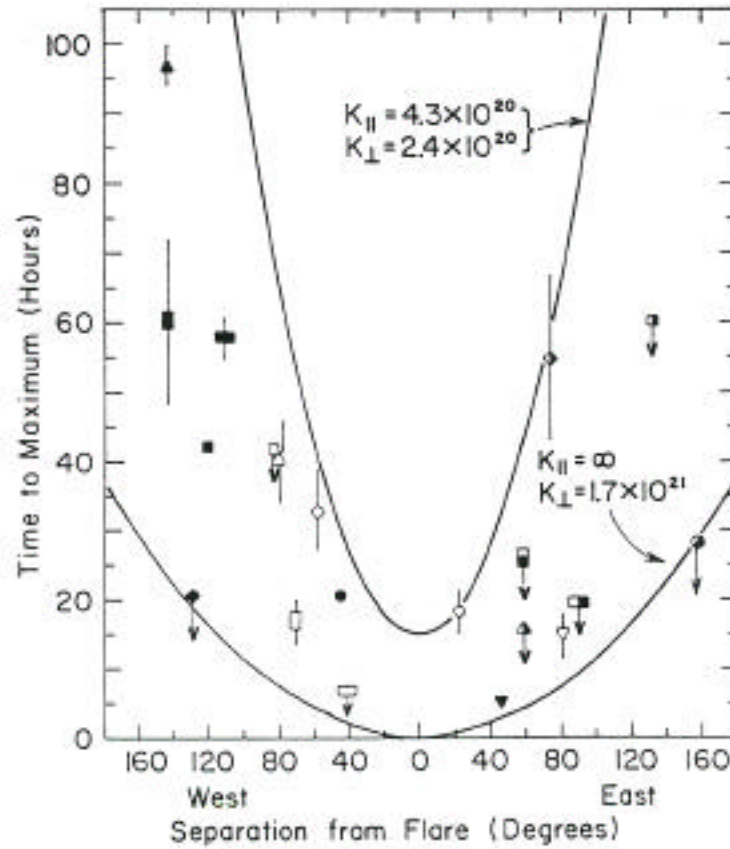


Fig. 14. The time to maximum intensity for fluxes of 15- to 18.7-Mev protons plotted as a function of azimuthal separation from the flare site.

R. B. McKibben, *JGR*, 77, 3957, 1972

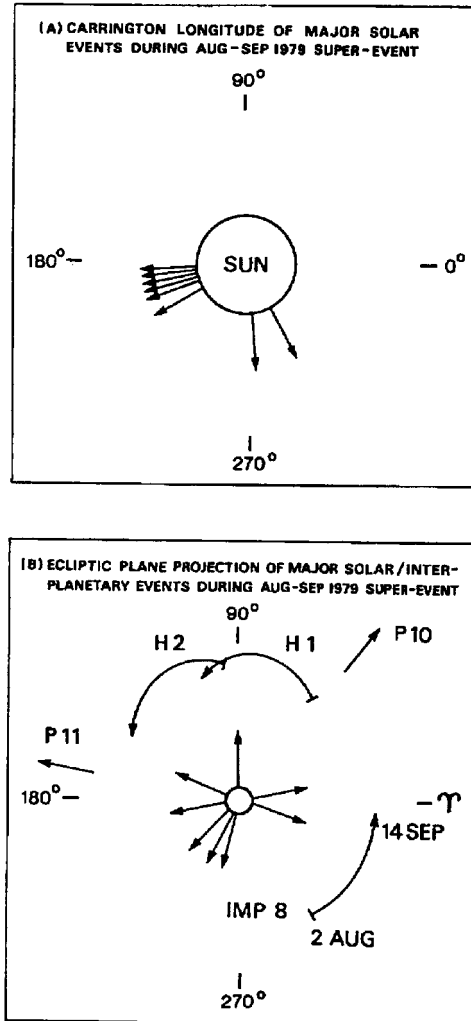


FIG. 2.—(a) Carrington longitudes of major solar events occurring from August 2 to September 14 responsible for the superevent of 1979 August/September. (b) Ecliptic longitudes of the major solar events from (a) and positions of near-Sun and distant spacecraft during 1979 August/September.

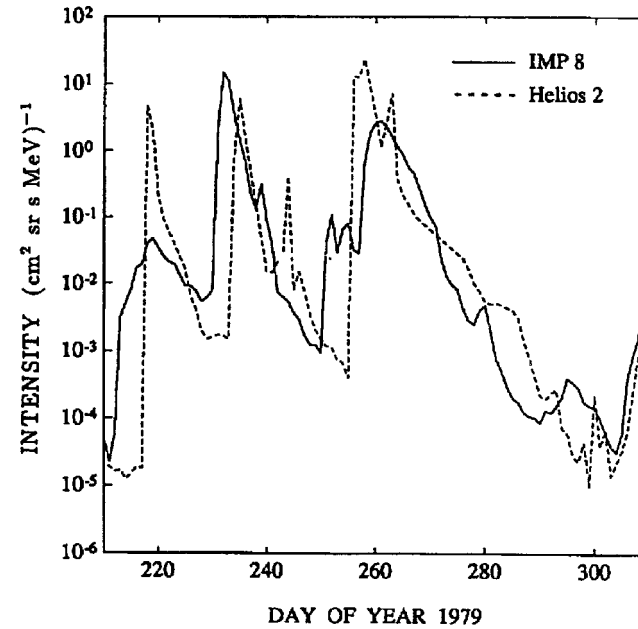
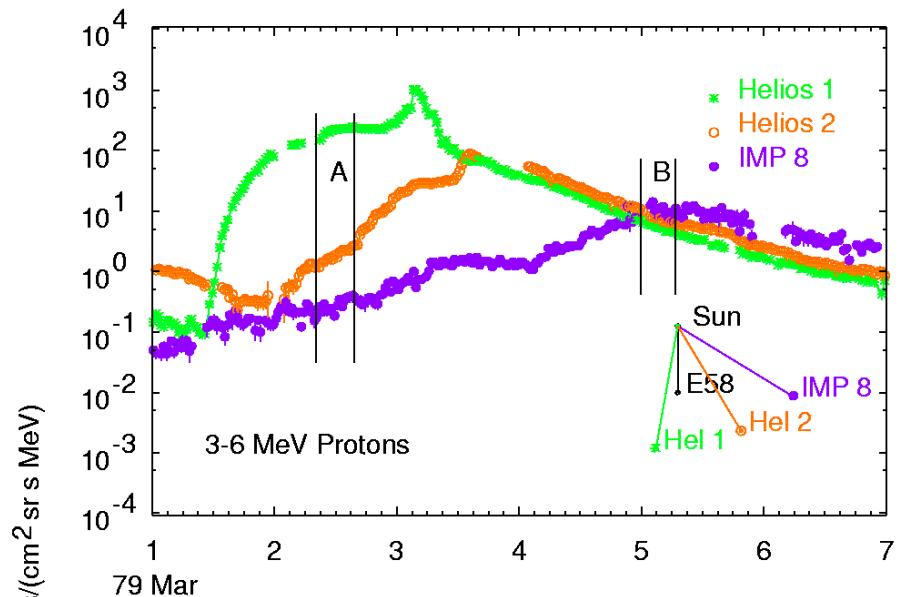


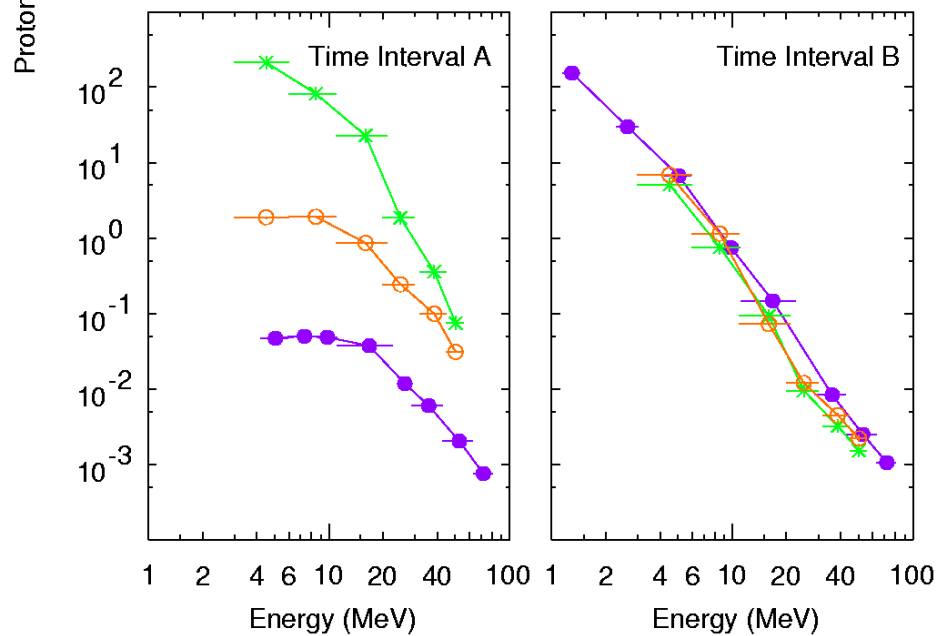
FIG. 3.—Daily averages of 11–20 MeV protons measured on IMP 8 (solid line) and 13–27 MeV protons measured on Helios 2 (dashed line) during the 1979 August/September superevent (No. 7).

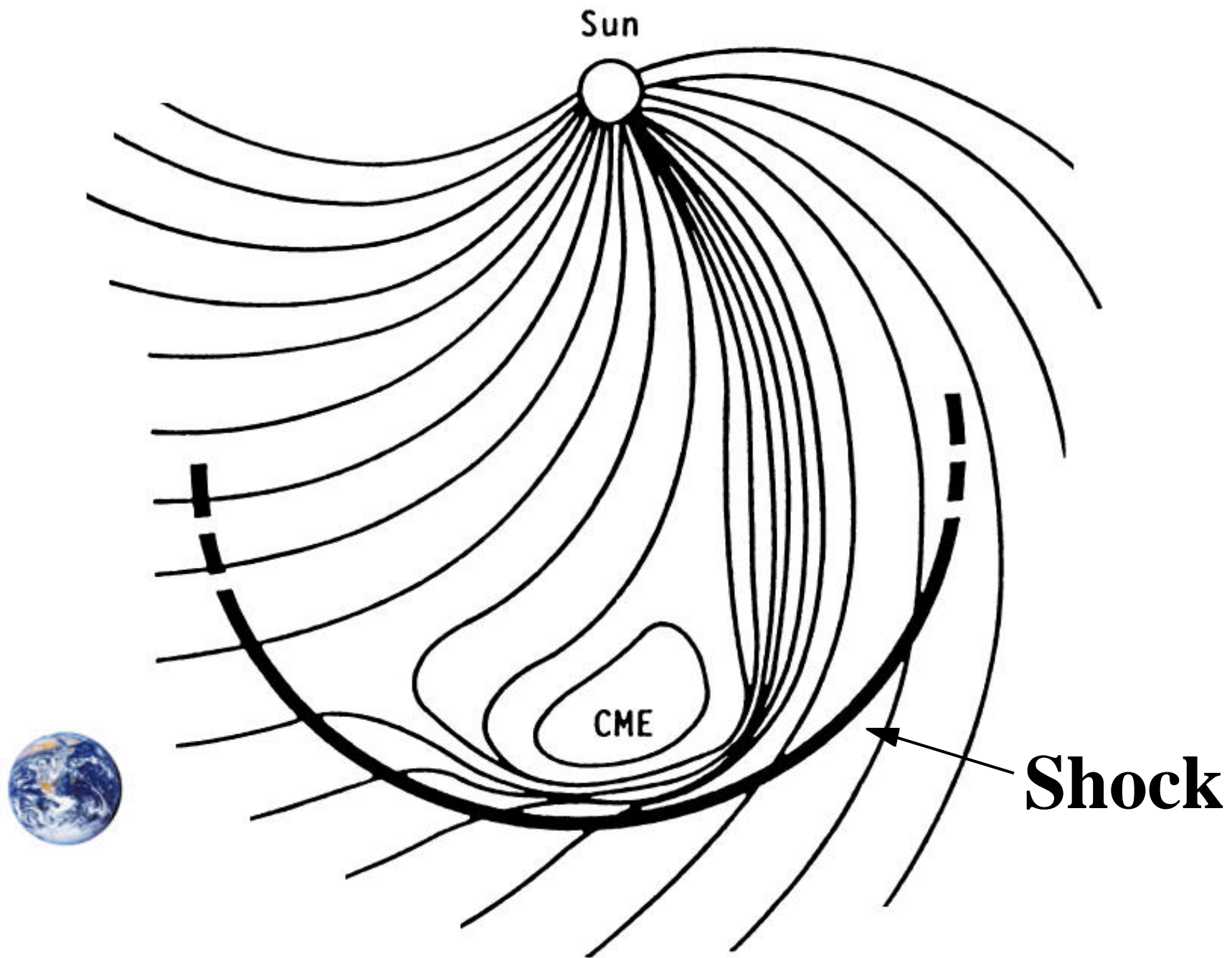
*Superevents: long lasting enhancements -- due to a series of CMEs, shocks, and particle events*

*Dröge, Müller-Mellin, and Cliver, Ap.J. (Letters), 387, L97, 1992.*

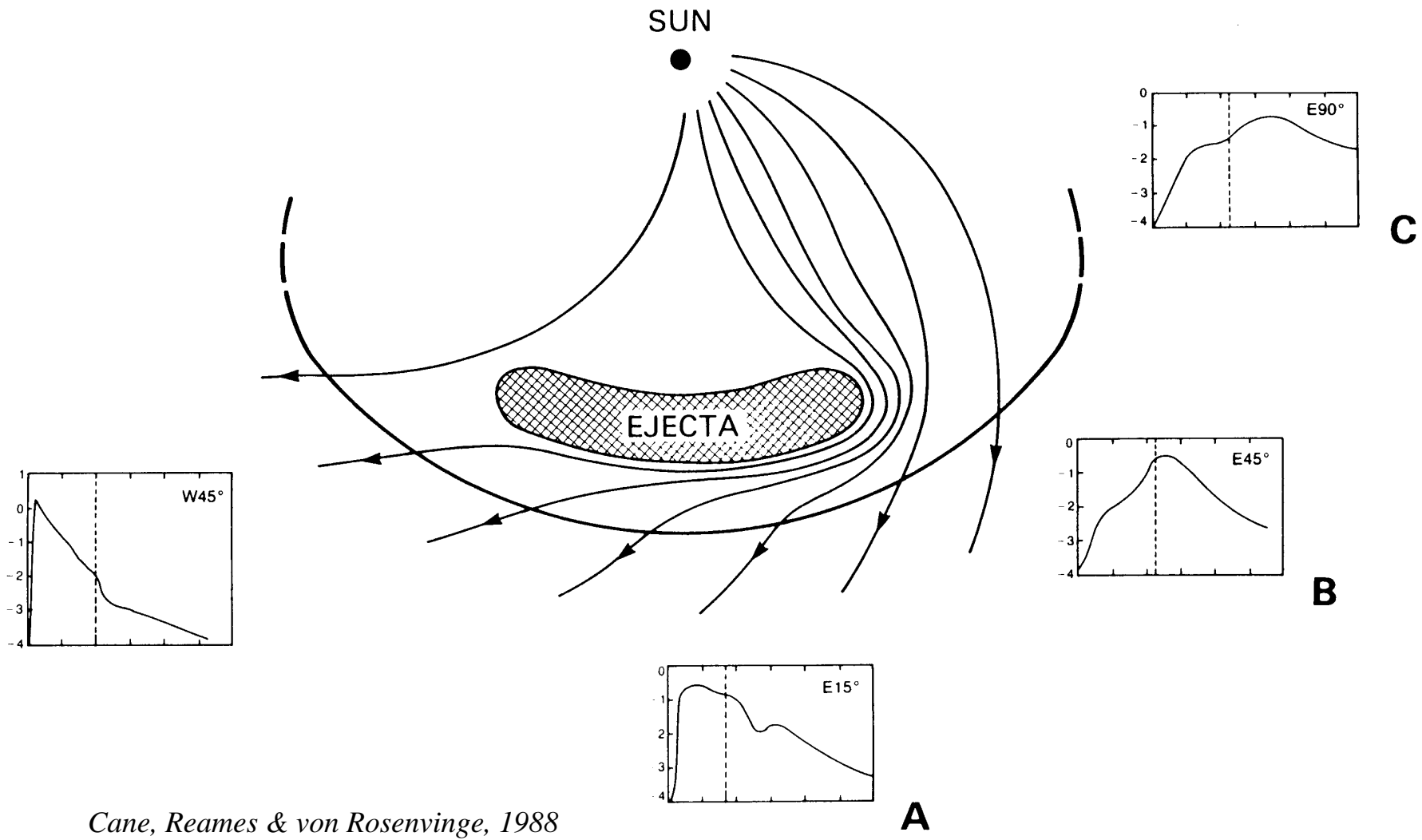


## Longitude Distributions (Invariant Spectra)





# Shock acceleration of 20 MeV protons vs. source location on sun



*Cane, Reames & von Roseninge, 1988*

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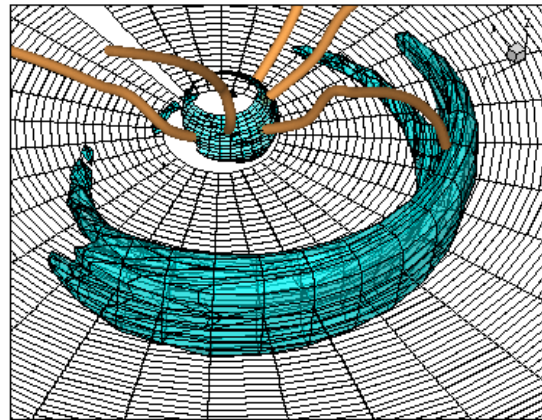
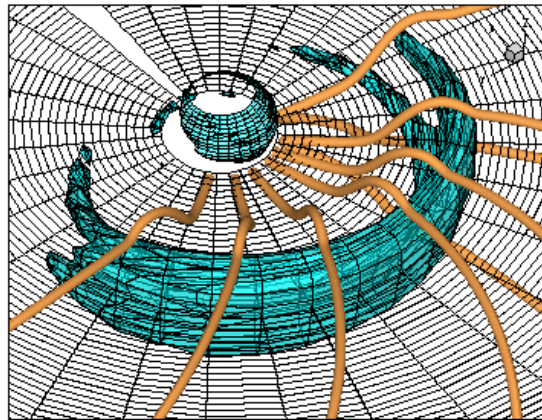
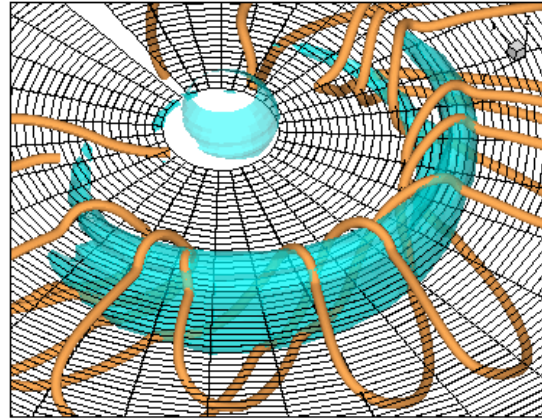
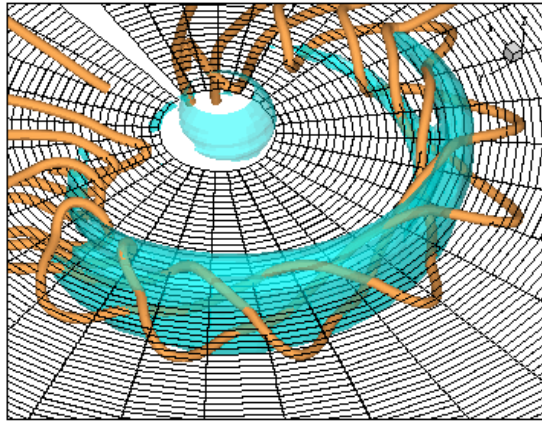


## *The big picture --*

- CMEs are large structures that drive shocks that can accelerate energetic particles
- Due to the winding of the interplanetary magnetic field, the events are not at all symmetrical: therefore the connection of the observer to the CME/shock has a large influence on the observed intensities

# *Outstanding issues --*

- Only rough idea of CME/shock geometry
  - on small distance scales
  - on global distance scales
- We don't know the correspondence between CME images and the shocks & energetic particle population
- Shock acceleration theory predictions don't work well
- We don't know what the “seed particles” are
- We don't know where the early arriving particles are accelerated



Iso-density  
surface with  
magnetic field  
lines traced  
from different  
locations

*courtesy  
Dusan Odstrcil*

# *Shock acceleration theories --*

- *diffusive shock acceleration theories*
  - steady state; particles accelerated in compression near shock; constant intensities downstream; decay scale length upstream  
(*Axford, Fisk, Lee, Bell, Forman, etc.*)
- *recent work*
  - attempting to include shock geometry, propagation to observer (*Zank & Rice, Lee, Ng, etc.*)

# *Key parameters for shock acceleration --*

- *Angle between shock normal and local magnetic field  $\theta_{Bn}$*
- *Compression ratio of upstream to downstream plasma*
- *Seed population: what are the ions that are actually accelerated? solar wind? suprathermals?*
- *do single point observations give a correct picture?*

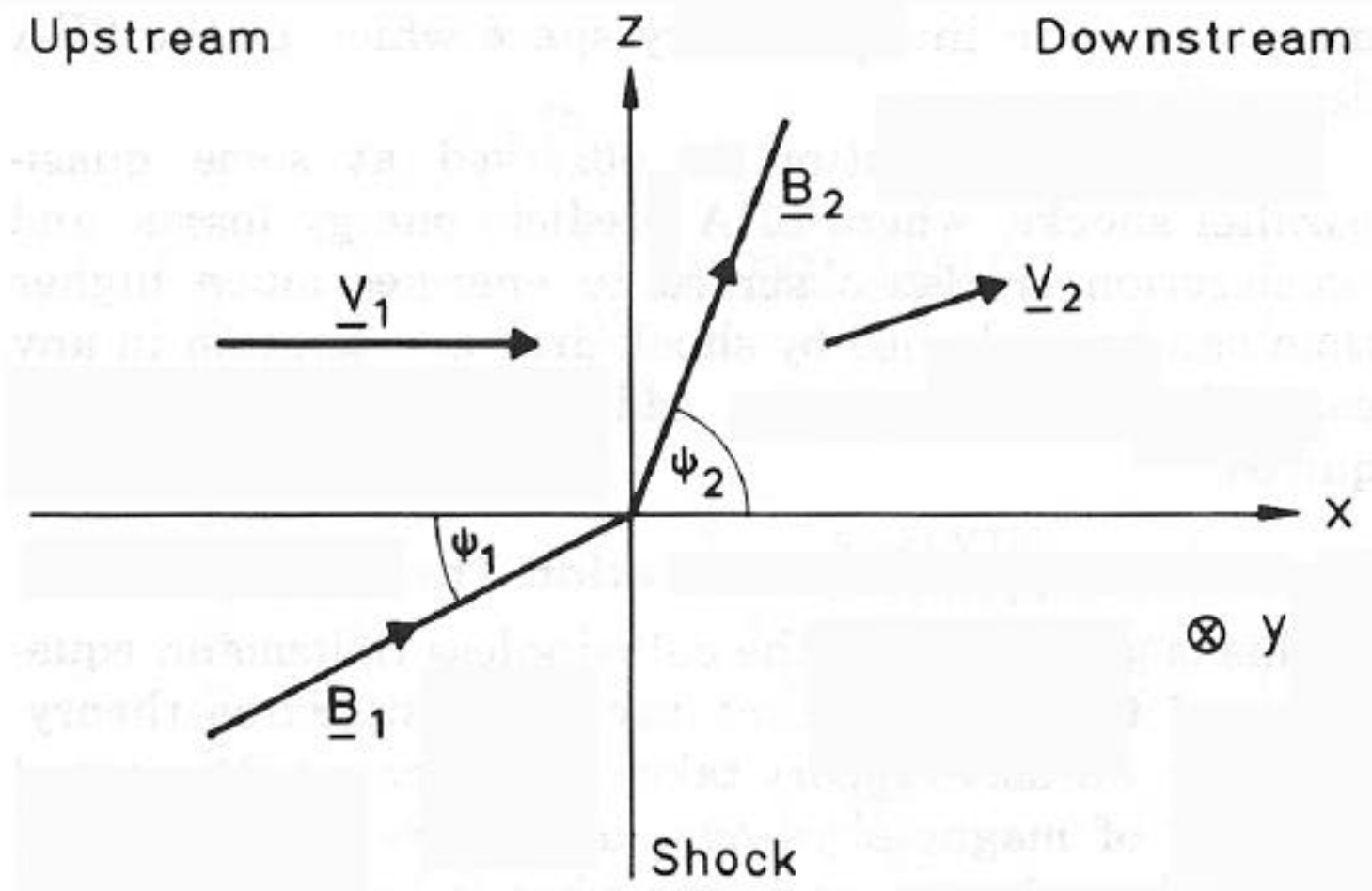


Fig. 1. Coordinate system for the shock frame.

M. A. Forman and G. M. Webb, *Geophys. Monograph #34*, p 91, 1985

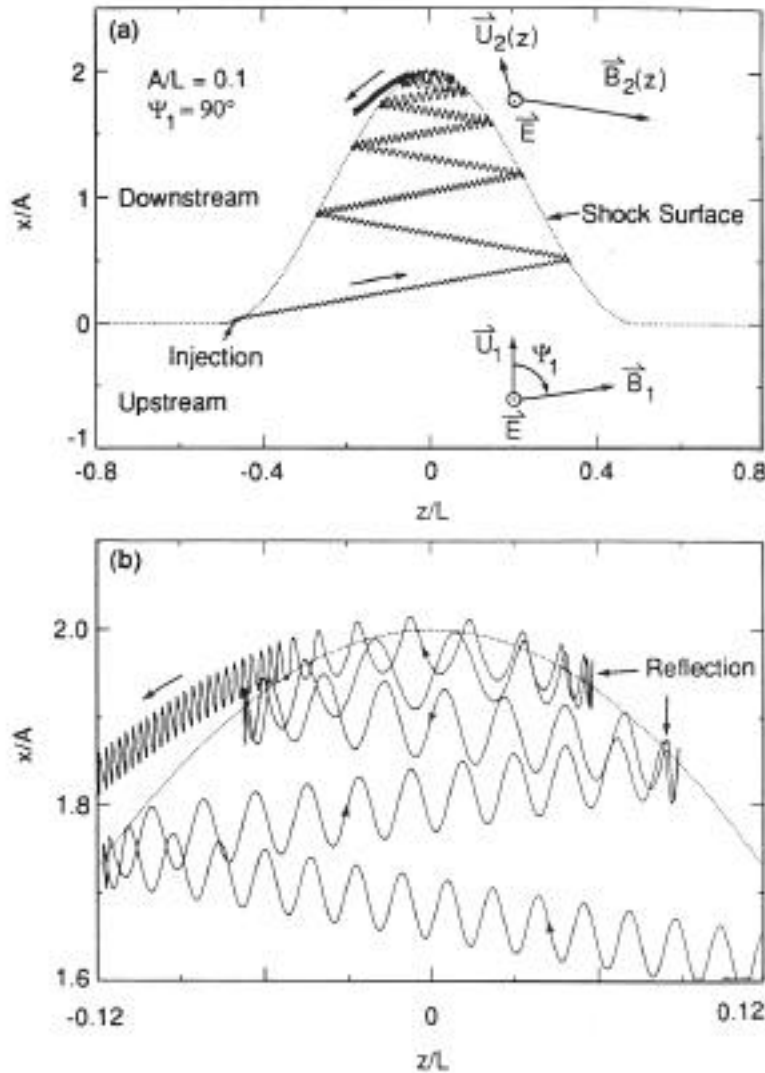


Fig. 1. (a) Illustration of shock surface (dashed curve) given by (1) and projection onto  $(x - z)$  plane of sample proton orbit as viewed from the shock frame.

R. B. Decker, JGR, 95, 11993, 1990

## *Study of shock with surface ripples:*

- *complex behavior within an event --*

- *multiple intensity spikes*

- *large variety of structures*

- *flux-time profiles depend markedly upon path through ripple*

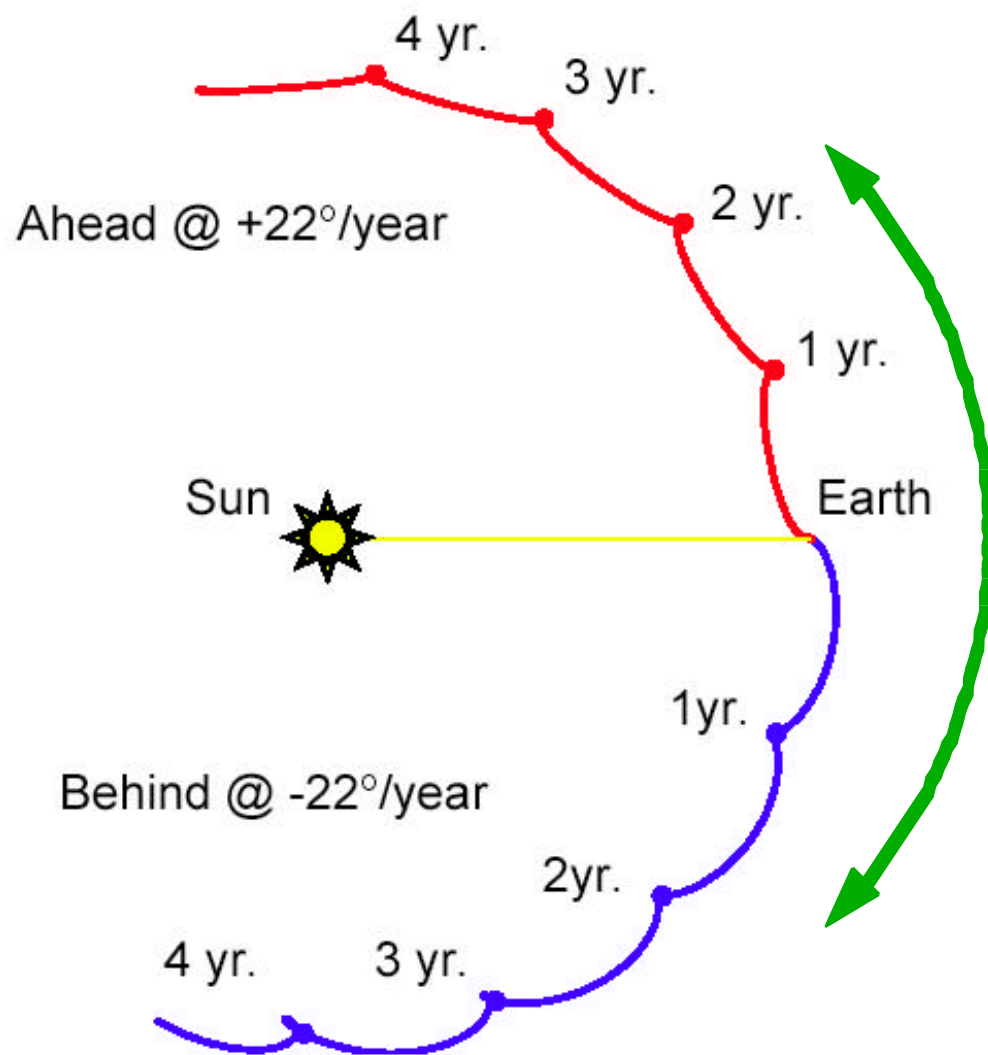


Figure: P. Sharer, STEREO PDR

## Initial Studies:

- *CME geometry*
- *Shock surface roughness*
- *Particle acceleration*
- *Sites of Acceleration*



***Correlation of peak flux and shock  
(a) spectral index and (b) shock strength***

VAN NES ET AL.: SHOCK ASSOCIATED ENERGY SPEC

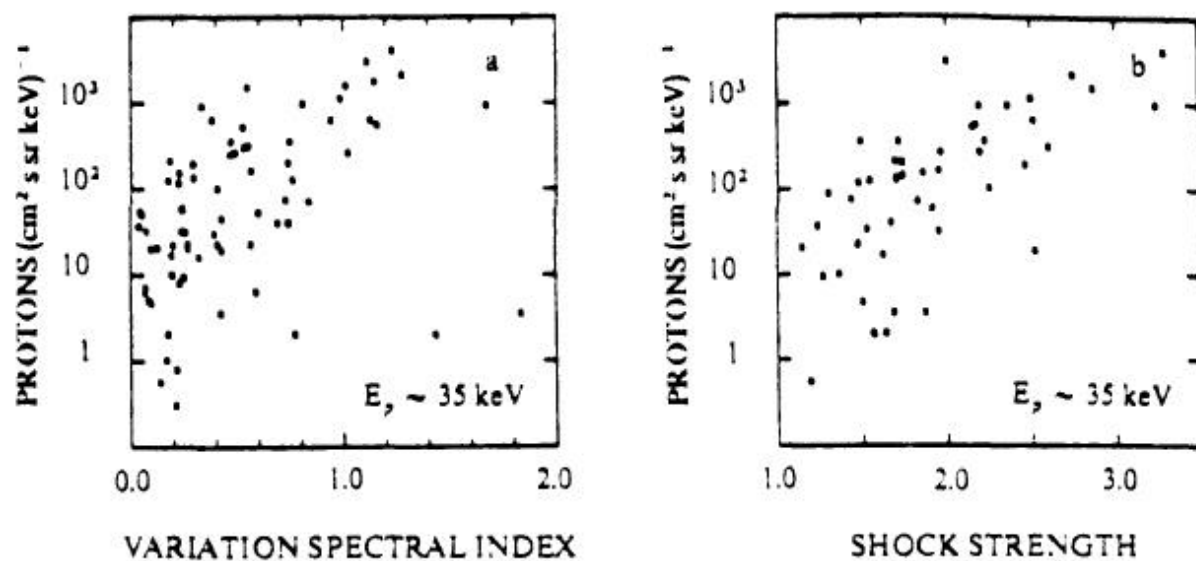


Fig. 7. Correlation between (a) variation in spectral index and peak flux, (b) hydroc

*van Nes et al., JGR, 89, 2122, 1984.*

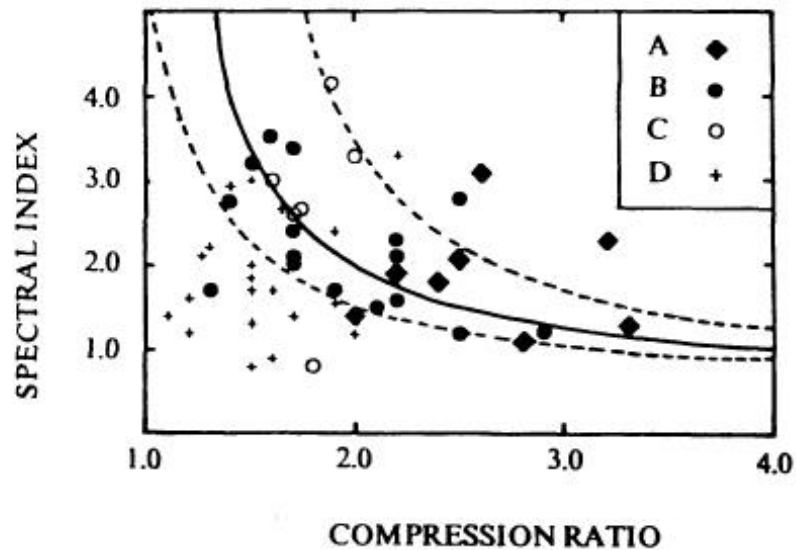


Fig. 12. Spectral index  $\gamma$  plotted as a function of the hydrodynamic shock strength  $H$ . The index was derived for the spectrum constructed from the average flux during 10 min immediately after the shock passage. The solid curve indicates the theoretical relation  $\gamma = (H + 2)/(2H - 2)$ . Points within the dashed lines are considered to follow the relation, because of the uncertainty of 25% in  $H$ . The events from the different classes are distinguished by different symbols.

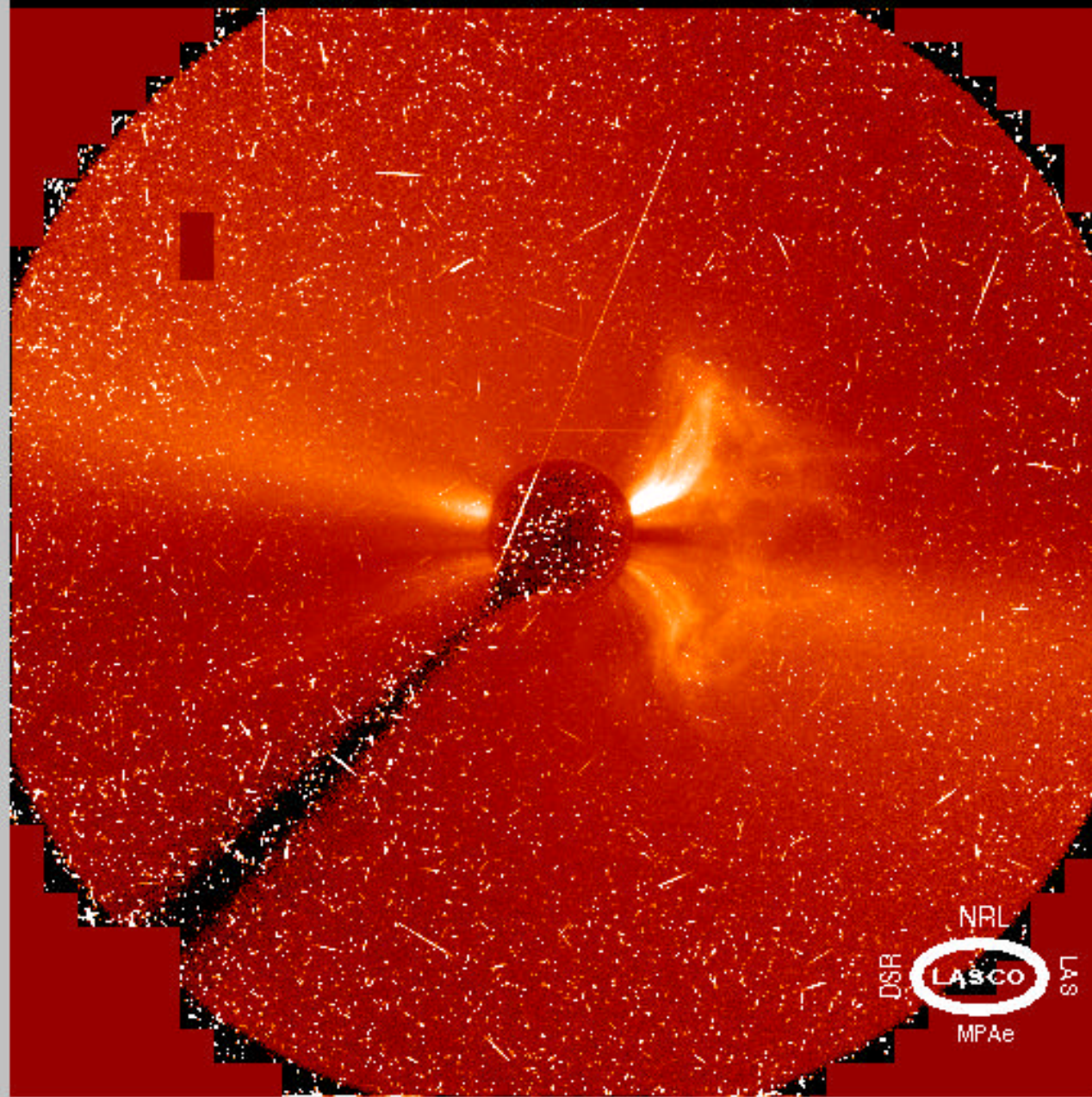
*van Nes et al., JGR, 89, 2122, 1984.*

### Shock accelerated particles:

- *survey on 75 shocks*
- *correlation of spectral index vs. shock compression ratio*
- $\gamma = (H+2)/(2H-2)$

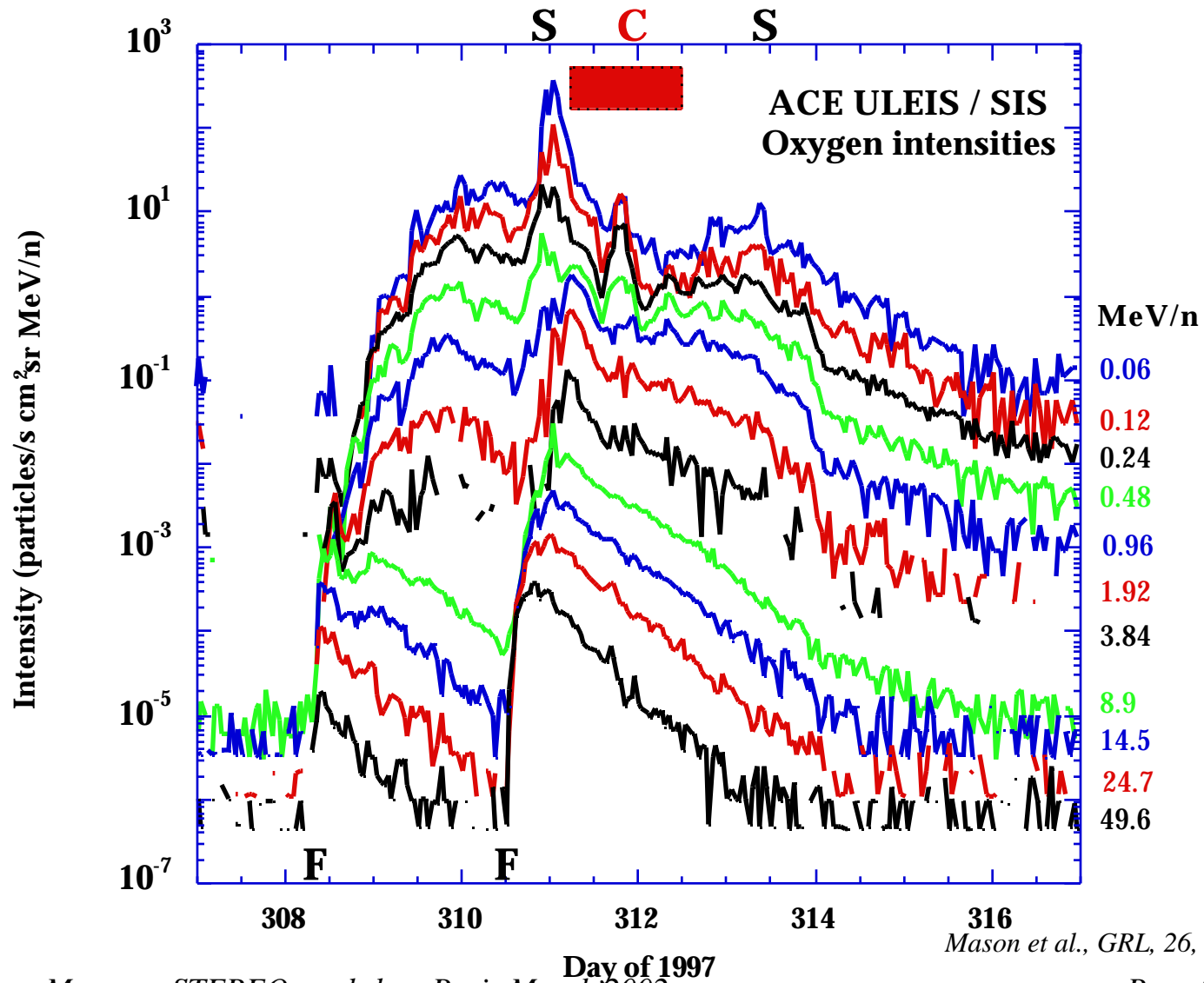
*( $H$  = ratio of downstream and upstream plasma density)*

LASCO-C3 97NOV06 13:46UT

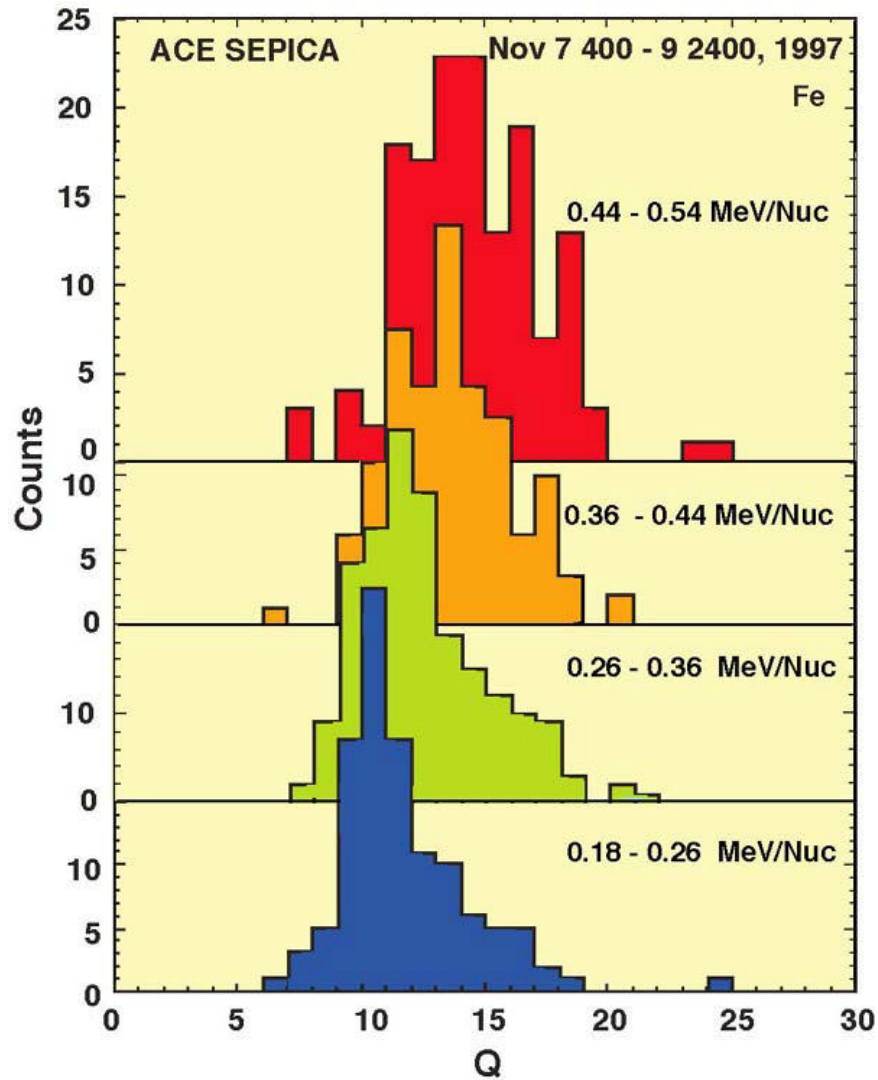


DSR  
NRL  
LASCO  
LAS  
MPAe

Glenn Mas



Mason et al., GRL, 26, 141, 1999



*Ionization states >>  
than solar wind.  
What causes that?*

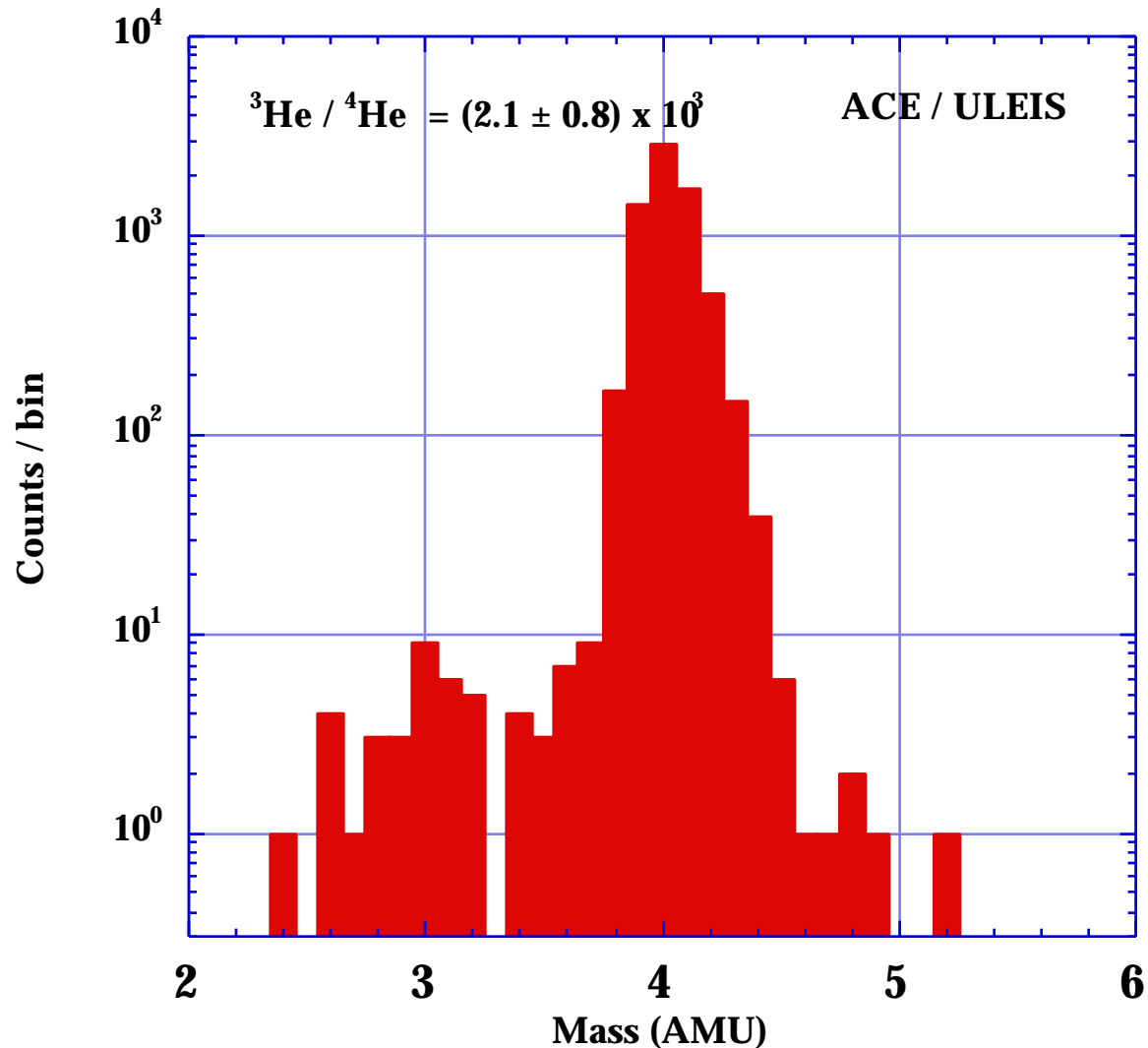
*•stripping near  
Sun?*

*•acceleration bias?  
•seed population?*

*Solar wind-like  
ionization states*

Möbius et al., GRL, 26, 145, 1999

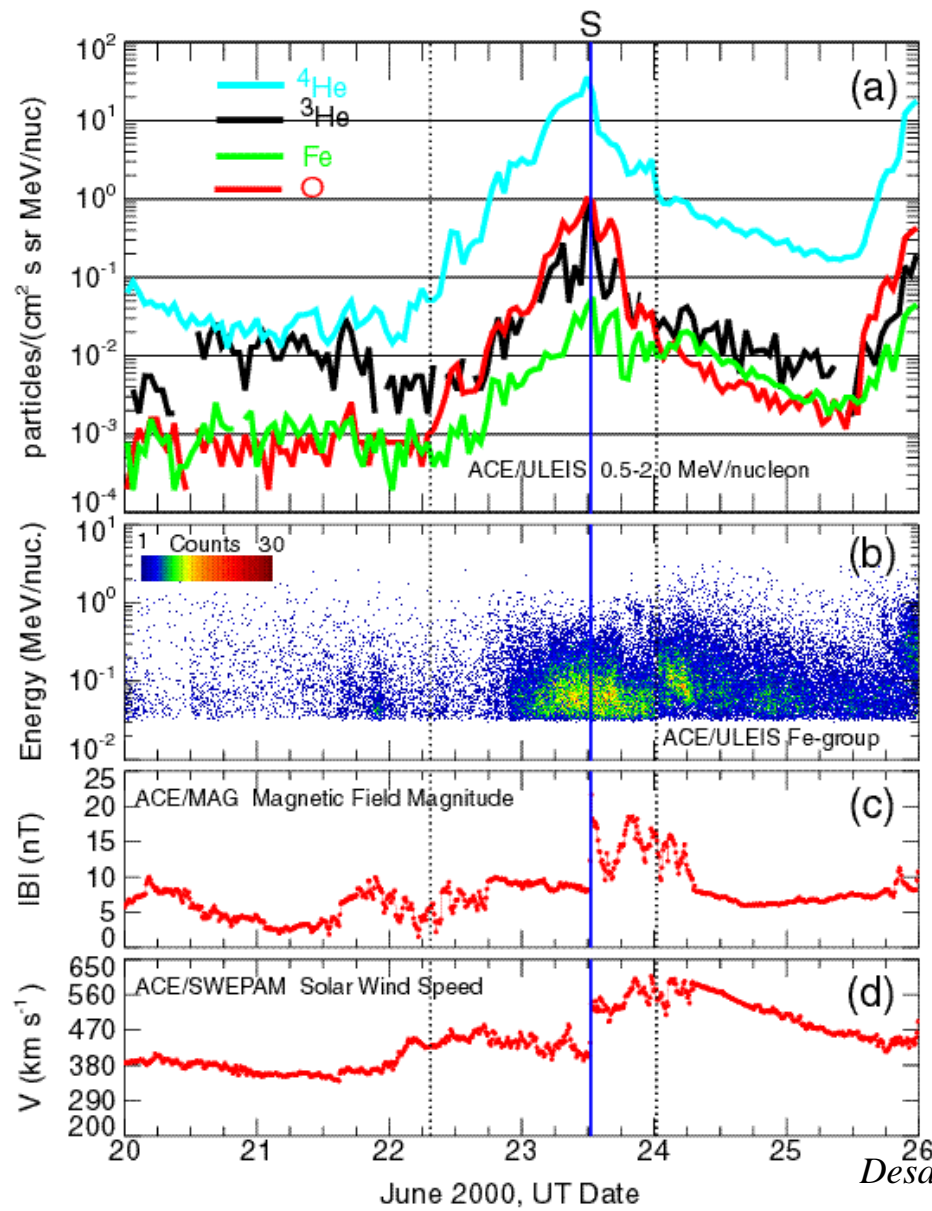
*<sup>3</sup>He enhancement in large Nov. 6, 1997  
CME related solar particle event: 0.5-2.0 MeV/n*



*Mason et al., GRL, 26, 141, 1999*

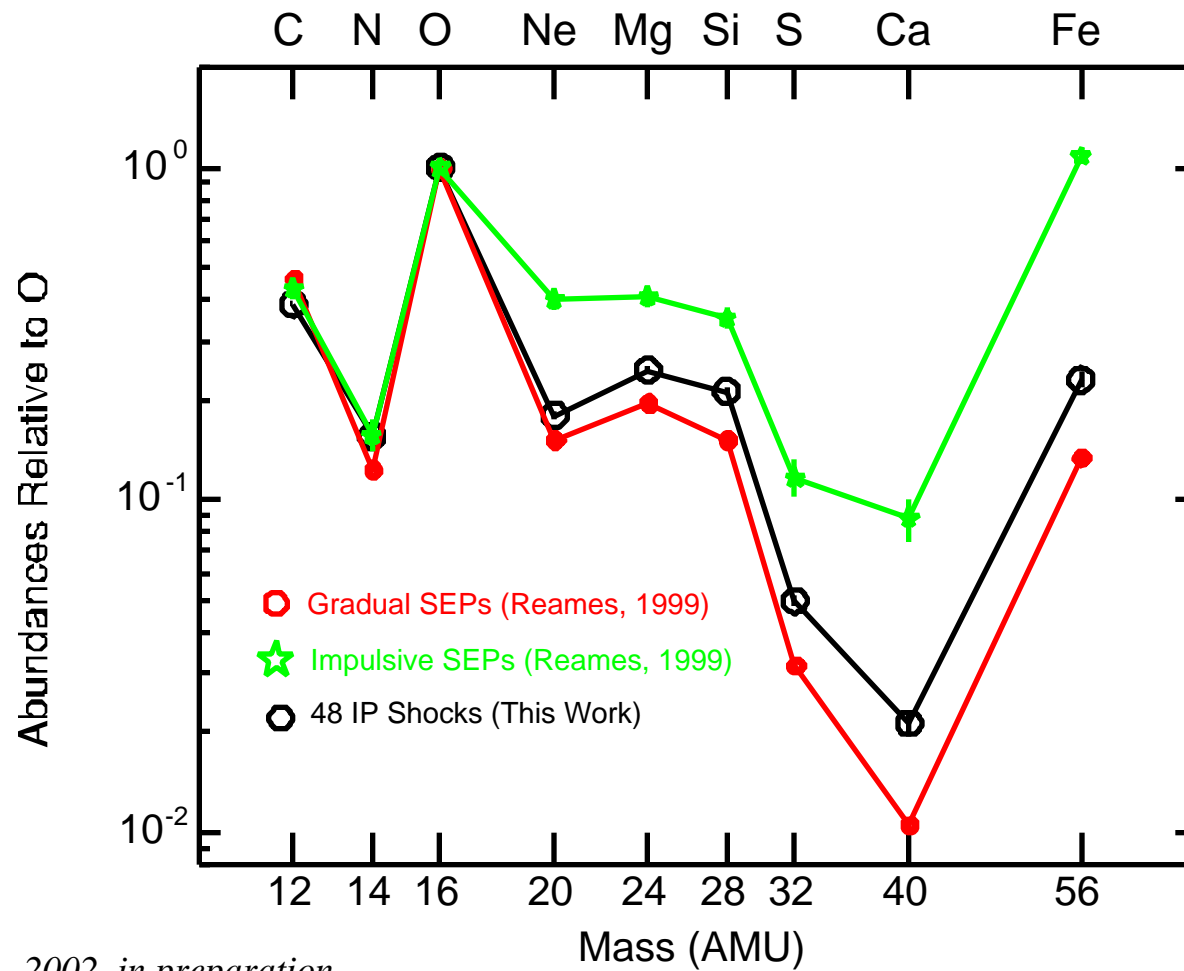
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Desai et al., Fall AGU, 2000

# Heavy ion abundances in interplanetary shocks intermediate between gradual and impulsive solar particle events

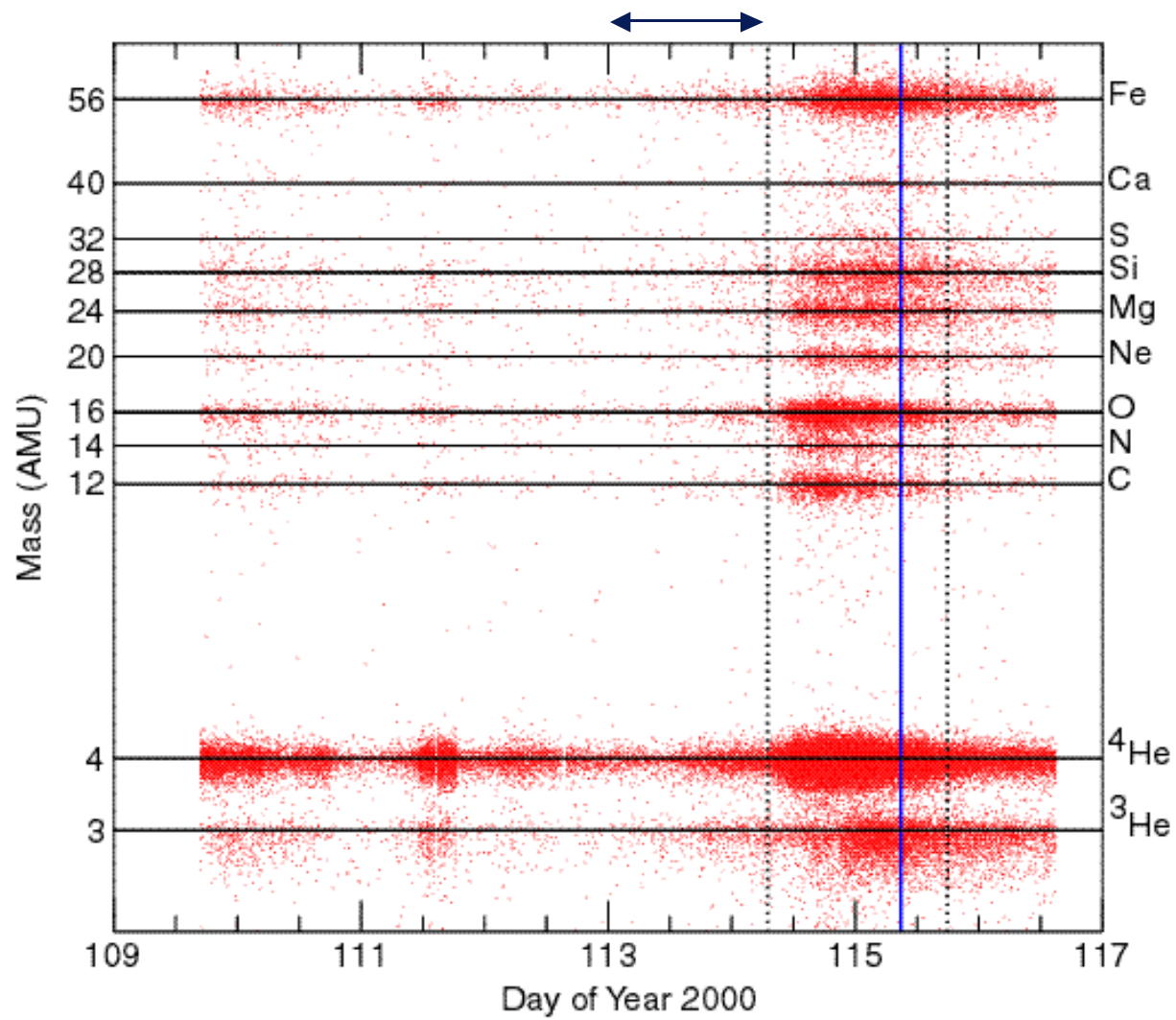


Desai et al., 2002, in preparation

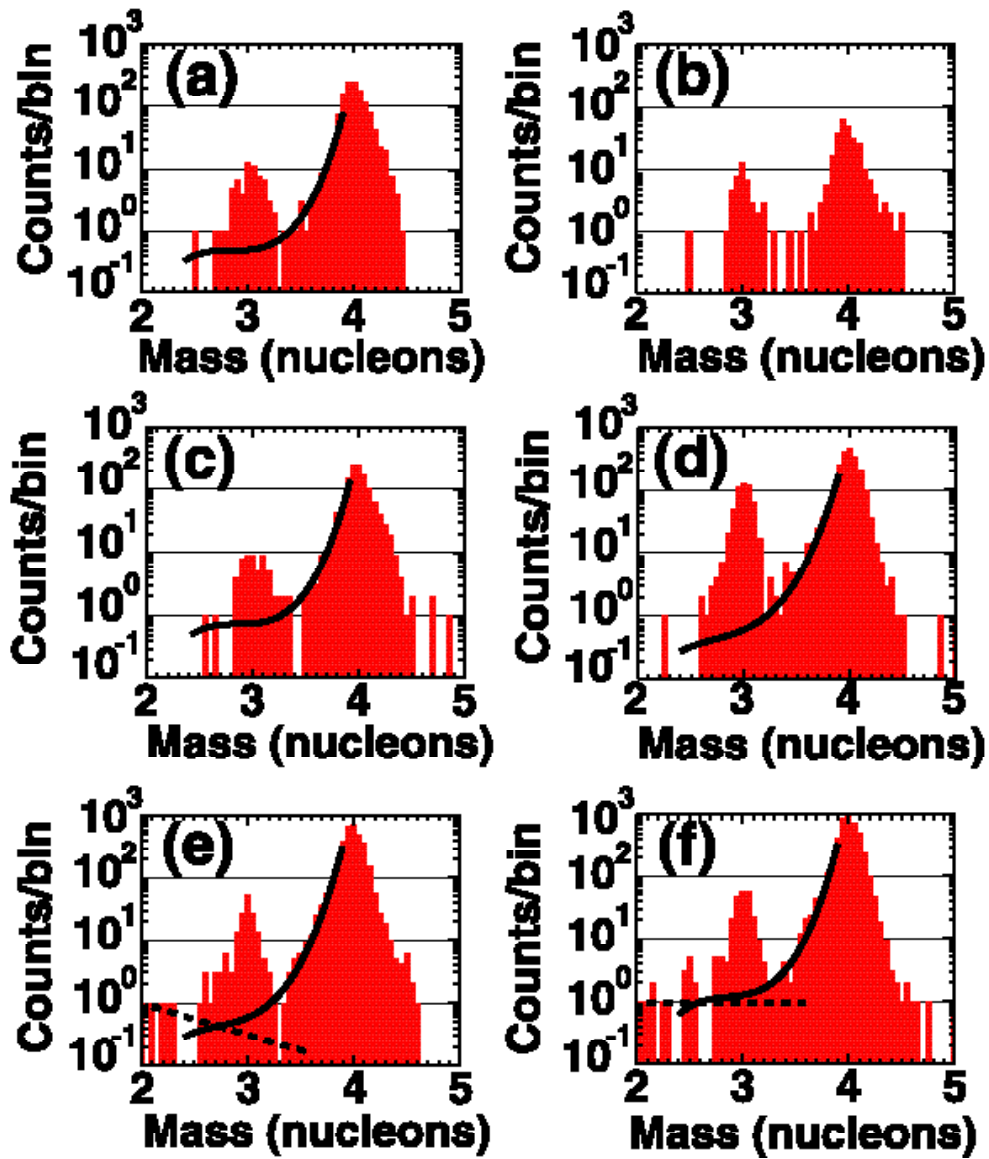
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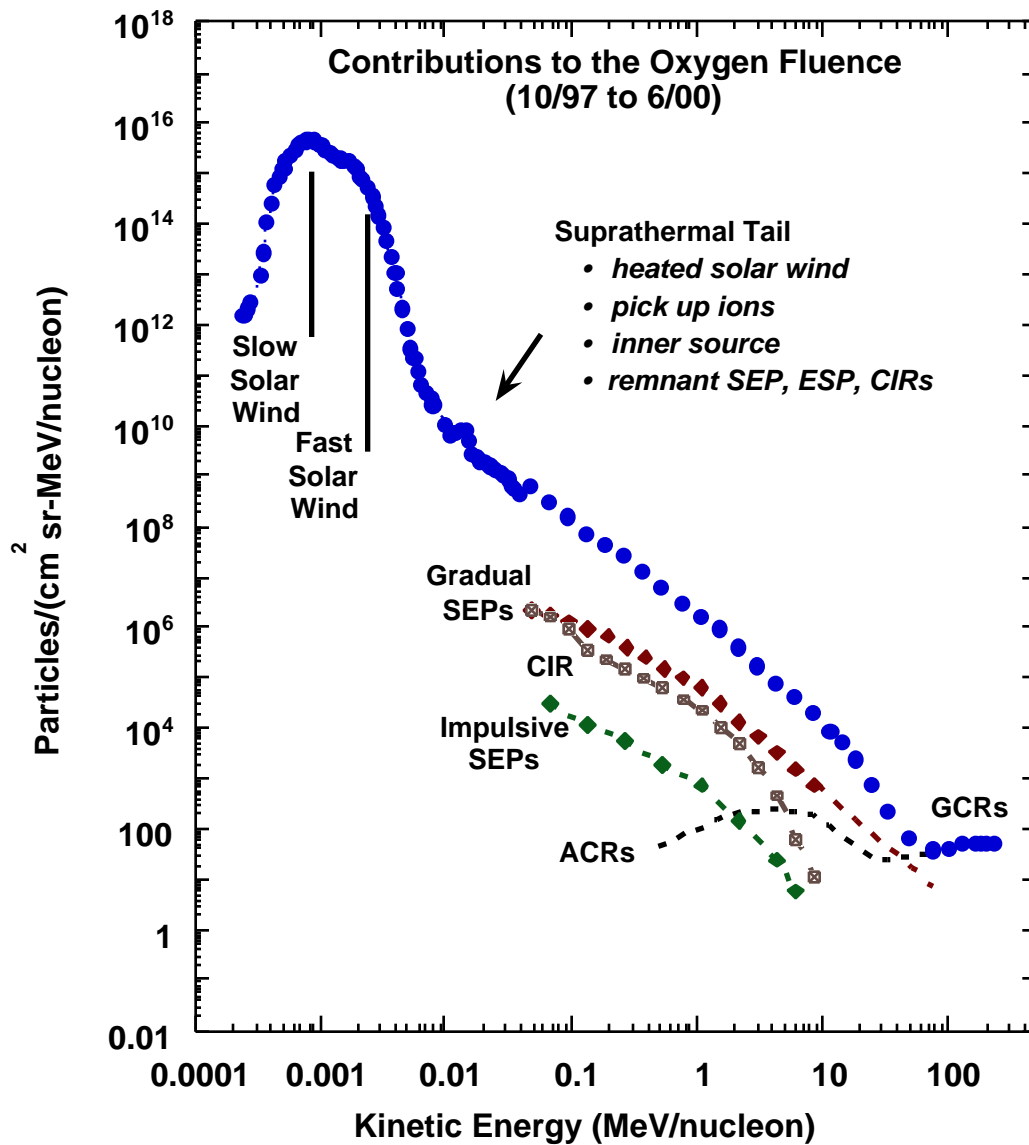


*Desai et al. 2001*



# *Shock acceleration: problems*

- *Intensities wrong or unaddressed*
- *Spectral indices wrong*
- *Composition wrong (i.e. seed population is not bulk solar wind)*
- *Solutions:*
  - use right seed population *but what else?*
  - improved theory vs. OK theory but inadequate treatment of shock geometry / surface?

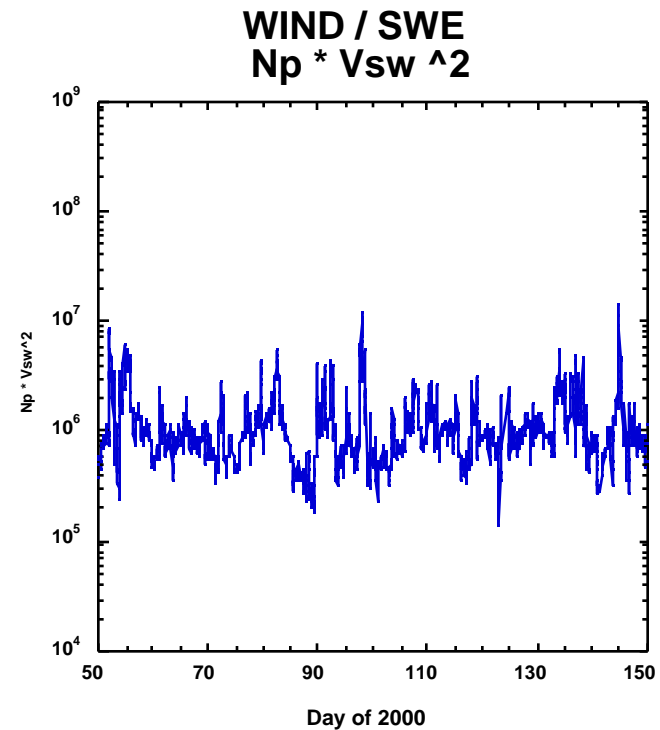
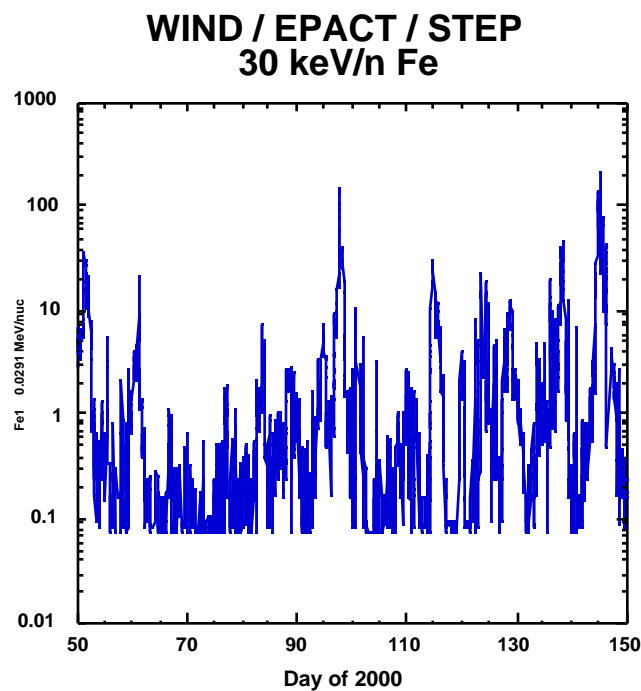


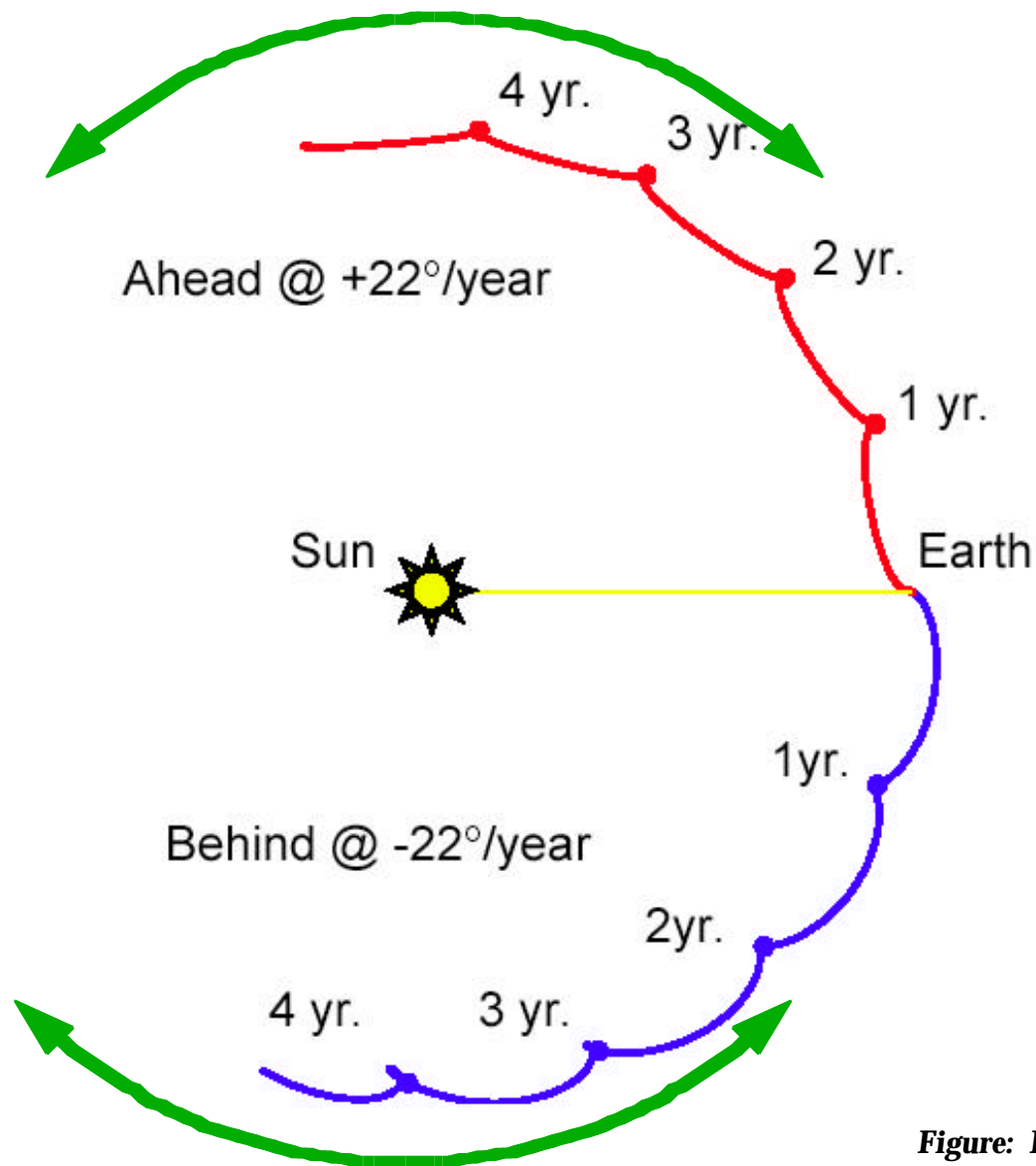
*adapted from Mewaldt, Mason, Gloeckler et al., AIP CP#598, 165, 2001*

*Suprathermal  
tail:*

- *many contributors*
- *spatial and temporal variations*

*Suprathermals show 10-100 times more variation in intensity than solar wind -- likely critical issue in energetic particle intensities*





Later  
Studies:

- *CME images & in-situ properties*
- *Particle acceleration sites (maybe)*

Figure: P. Sharer, STEREO PDR

# ***STEREO contributions:***

- Only rough idea of CME/shock geometry
  - on small distance scales
  - on global distance scales

*STEREO will allow systematic mapping for the first time (S/C < 120° apart)*
- We don't know the correspondence between CME images and the shocks & energetic particle population

*STEREO/SECCHI & in-situ experiments will determine this correspondence near 1 AU - extrapolation inward may be possible (wide range of separations)*
- Shock acceleration theory predictions don't work well

*Knowledge gained of shock geometry, speed, surface roughness will permit more definitive comparisons of theory & observations (S/C < 120° apart)*
- We don't know what the “seed particles” are

*Improved comparisons of theory & observations will help, but full suprathermal range not covered on STEREO (S/C < 120° apart)*
- We don't know where the early arriving particles are accelerated

*possible progress if we can extrapolate CME/in-situ correspondence close to the Sun. (wide range of separations)*